

**PATENT** 

Customer Number: 22,852

Attorney Docket No. 06843.0028-02000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Tsutomu ARAKAWA et al.	) Group Art Unit: 1642
Application No.: 09/994,068	) Examiner: Not Yet Assigned
Filed: November 27, 2001	<i>)</i> )
For: ANTIBODY-INDUCED APOPTOSIS	) ) )
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir	

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

The document listed on the attached Form PTO 1449 was cited in a communication in a counterpart European patent application, and this Information Disclosure Statement is being filed within thirty days of the date printed on that communication.

Copies of the listed documents are enclosed.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

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The enclosed European Search Report (ESR) lists an abstract from a Japanese Patent application. Applicants enclose U.S. Patent No. 5,869,268, which, according to

the ESR, issued from a counterpart application in the United States.

Applicants respectfully request that the Examiner consider the listed documents

and indicate that they were considered by making appropriate notations on the attached

form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law, applicants

reserve the right to present to the office the relevant facts and law regarding the

appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the claimed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: December 4, 2003

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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	6843.0028-02000	Appln. No.	09/994,068	OIPE
Applicant	Tsutomu ARAKAWA et al.	<b>.</b>		DEC 0 5 2003 E
Filing Date	November 27, 2001	Group:	1642	à S
				PADEMARK

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
···-	5,869,268	02/09/1999	Kudo et al.			

FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or N
	JP 5317084	12/03/1993	Japan			Abstract only

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	European Search Report, for counterpart European Application No. 03 01 8949, dated November 10, 2003.			
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Examiner		Date Considered
*Examiner: Initial if reference considered, whether or not citation through citation if not in conformance and not considered communication to applicant.		ot citation is in conformance with MPEP 609; draw line not considered. Include copy of this form with next
Form PTO 144	Patent and	Trademark Office - U.S. Department of Commerce